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Name: Commissioner For Patents
From: Gregory S. Bernabeo, Reg. No. 44,032
Re: U.S. Application No. 09/685,398 (Conf. No. 7911)

Attorney Docket Number RSW920000107US1
(S&L File No. P24,275 USA)

A total of 20 pages, including this cover sheet, will be transmitted.

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Name of Operator: Kathy P. Higgins


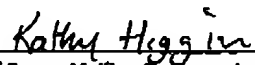
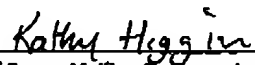
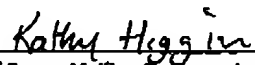
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TRANSMITTAL LETTER (General - Patent Pending)				Docket No. RSW920000107US1							
In Re Application Of: J. KRAMER											
Application No. 09/685,398	Filing Date 10/10/2000	Examiner L. Hamilton	Customer No. 47121	Group Art Unit 3624	Confirmation No. 7911						
Title: SYSTEM AND METHOD FOR AUTOMATICALLY REBALANCING PORTFOLIOS BY SINGLE RESPONSE											
<u>COMMISSIONER FOR PATENTS:</u>											
Transmitted herewith is: Reply to Office Action Dated December 22, 2004.											
In the above identified application.											
<input checked="" type="checkbox"/> No additional fee is required. <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 09-0457 as described below. <input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.											
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 Gregory S. Bernabeo, Reg. No. 44,032 Synnestvedt & Lechner LLP 2600 Aramark Tower 1101 Market Street Philadelphia, PA 19107-2950 Tel: 215-923-4466 Fax: 215-923-2189			Dated: March 22, 2005								
cc:			VIA FACSIMILE								
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="padding: 5px;"> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on March 22, 2005 <i>ANAL STOP AMENDMENT</i> (Date) </td> </tr> <tr> <td colspan="2" style="padding: 5px;">  Signature of Person Mailing Correspondence </td> </tr> <tr> <td colspan="2" style="padding: 5px;"> Kathy P. Higgins Typed or Printed Name of Person Mailing Correspondence </td> </tr> </table>						I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on March 22, 2005 <i>ANAL STOP AMENDMENT</i> (Date)		 Signature of Person Mailing Correspondence		Kathy P. Higgins Typed or Printed Name of Person Mailing Correspondence	
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P16A/REV03

Application No. 09/685,398
Reply to Office Action dated December 22, 2004

Docket No. RSW920000107US1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 22 2005

In re application of:
J. Kraemer

Examiner: L. Hamilton

Application No.: 09/685,398
(Conf. No. 7911)

Group Art Unit: 3624

Filed: October 10, 2000

Docket No. RSW920000107US1
(S&L Docket No. P24,275 USA)

For: **SYSTEM AND METHOD FOR AUTOMATICALLY REBALANCING
PORTFOLIOS BY SINGLE RESPONSE**

CERTIFICATE OF FACSIMILE TRANSMISSION

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Kathy P. Higgins

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REPLY TO OFFICE ACTION DATED DECEMBER 22, 2004

Sir:

This Reply is responsive to the Office Action, dated December 22, 2004, in the above-identified application. Reconsideration and reexamination of this application are requested respectfully in view of the following amendments and remarks.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 9 of this paper.